



PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/615,572
Filing Date	08 July 2003
First Named Inventor	Robert R. Hunter
Art Unit	2857
Examiner Name	
Attorney Docket Number	TREND-00101

Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)

- | | | |
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| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Fee Attached
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<input checked="" type="checkbox"/> Information Disclosure Statement
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under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)
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<input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication
to Technology Center (TC)
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of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Derek J. Westberg (Reg. No. 40,872)
Signature	
Date	October 28, 2003

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Daniel Patoff		
Signature		Date	October 28, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Atty. Dkt. No. TREND-00101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Robert R. HUNTER Confirmation No.: 8982
Application No: 10/615,572 Examiner:
Filing Date: July 8, 2003 Group Art Unit: 2857
Title: METHOD AND APPARATUS FOR READING AND CONTROLLING UTILITY
CONSUMPTION

* * *

INFORMATION DISCLOSURE STATEMENT

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 § CFR 1.97(b).
(Within three months of filing a national application or date of entry of a national application; or before the mailing of a first office action on the merits; whichever occurs last.)
- ☐ under 37 § CFR 1.97(c), together with either a:
☐ Statement under 37 § CFR 1.97(e)(1) or (2), or
☐ a \$180.00 set forth in 37 § CFR. 1.17(p).
(After the 37 § CFR 1.97(b) period, but before final action or notice of allowance, whichever occurs first.)
- ☐ under 37 § CFR 1.97(d), together with a:
☐ Statement under 37 § CFR 1.97(e)(1) or (2), and
☐ a \$180.00 fee set forth in 37 § CFR. 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Pursuant to 37 CFR §1.56(a), Applicant hereby cites the following documents listed on the attached Form(s) PTO/SB/08.

- ☐ Statement under 37 § CFR 1.97(e)(1)

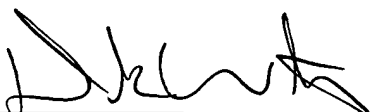
No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application. To the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months before the filing of this information disclosure statement.

- ☐ Statement under 37 § CFR 1.97(e)(2)

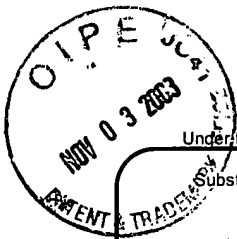
Each item of information contained in this Information Disclosure Statement was cited for the first time, in a communication from a foreign patent office in a counterpart foreign application, not more than three months before the filing of this statement.

The Commissioner is also authorized to charge any deficiencies in fees and credit any overpayment of fees to Deposit Account No. 50-1610.

Respectfully submitted,
Law Office of Derek J. Westberg

By 
Derek J. Westberg
Reg. No. 40,872

Oct 24, 2003
Date



PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/615572
Filing Date	08 July 2003
First Named Inventor	Robert R. Hunter
Art Unit	2857
Examiner Name	
Attorney Docket Number	TREND-00101

Sheet 1 of 1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,589,764	12-31-1996	Lee, Graham S.	
		US- 6,167,389	12-26-2000	Davis et al	
		US- 6,351,693	02-26-2002	Monie et al	
		US- 6,363,422	03-26-2002	Hunter et al	
		US- 6,429,642	08-06-2002	Rodilla Sala, V.	
		US- 6,542,791	04-01-2003	Perez, Richard	
		US- 6,618,709	09-09-2003	Sneeringer, David J.	
		US- 6,622,097	09-16-2003	Hunter, Robert R.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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